

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: SHIPING XU, ET AL.

Application No.:10/537,711

Group No.: 1624

Filed: NOVEMBER 28, 2005

Examiner: LEESER, ERICH A.

Confirmation No.: 6874

For: NOVEL COUMARIN-AMIDE DERIVATIVES AND ITS PREPARATION, SAID
DRUG COMPOSITION AND ITS USE

Mail Stop Petition

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Date of this paper: August 22, 2008

**PETITION FOR REVIVAL OF AN APPLICATION
FOR PATENT ABANDONED UNINTENTIONALLY
UNDER 37 C.F.R. § 1.137(b)**

NOTE: "In a design application, a utility application filed before June 8, 1995, or a plant application filed before June 8, 1995, any petition to revive pursuant to this section must be accompanied by a terminal disclaimer and fee as set forth in § 1.321 dedicating to the public a terminal part of the term of any patent granted thereon equivalent to the period of abandonment of the application. Any terminal disclaimer pursuant to this paragraph must also apply to any patent granted on any continuing application that contains a specific reference under 35 U.S.C. 120, 121, or 365(c) to the application for which revival is sought. The provisions of this paragraph do not apply to lapsed patents." 37 C.F.R. § 1.137(c).

NOTE: In accordance with the Notice of November 5, 1990 (1121 O.G. 6), an application abandoned under 37 C.F.R. § 1.53(d) for failure to timely provide the appropriate filing fee, oath or declaration and/or surcharge may be revived under the procedure of 37 C.F.R. § 1.137(b).

1. This application became abandoned on May 16, 2008

NOTE: Extensions under 37 C.F.R. § 1.136 are available only if asked for "prior to or with the response." Accordingly, if the question of abandonment arises when the provisions of § 1.136 can no longer be used, then the application is abandoned when the unextended time for response expired. Therefore, no extension fees are due on a petition for revival. Thus: "An application which is abandoned for failure to respond within a set period with no extension fee having been paid, would not require the payment of extension fees as a condition for revival." M.P.E.P. § 711.03(c), 6th ed., rev. 2.

2. This application became abandoned because the failure to prosecute was an unintentional delay. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 C.F.R.1.137(b) was unintentional.

3. Response or action required

☐ has been filed.

☒ is attached.

NOTE: If the Response is the Issue Fee, the Issue Fee must be paid. See 11-5.

NOTE: The PTO accepts the filing of a continuing application as a response under 37 C.F.R. 1.137. To facilitate processing in such a case, the petition to revive should specifically refer to the filing of a continuing application and also include an express abandonment of the prior application conditioned upon the granting of the petition and the granting of a filing date to the continuing application. Notice of May 13, 1983, (1031 O.G. 11-12). See also M.P.E.P. 711.03(c), 6th ed., rev. 2. "In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof." 37 C.F.R. 1.137(b)(1).

(complete the following, if applicable)

☐ The response is the filing of a continuation application having an express abandonment conditioned on the granting of a filing date to the continuing application copending with this application or an express request for U. S. national stage entry.

4. Showing Required When Petition Being Filed Is More Than 3 Months From Notification of Abandonment or More Than 1 Year After Abandonment

NOTE: The applicant must carry the burden of proof to establish that the "entire" delay, from the due date for the fee and the filing of a grantable petition, was unintentional regardless of the circumstances that originally resulted in the abandonment of the application. M.P.E.P. Section 711.03(c), 8th Edition.

(complete the following, if applicable)

☐ Because this petition is more than 3 months after the date the Office first notified the applicant that the application is abandoned, applicant additionally submits a showing as to how the delay between the date the applicant was first notified that the application is abandoned and the filing of this petition under 37 C.F.R. Section 1.137(b) was unintentional. 62 Fed. Reg. 53,131, 53,159 (Oct. 10, 1997). See M.P.E.P., Section 711.03(c), 8th Edition, 700-185.

☐ Because this petition is more than 1 year after the date of abandonment of the application, applicant additionally submits further information as to when applicant (or applicant's representative) first became aware of the abandonment of the application, and a showing as

to how the delay in discovering the abandoned status of the application occurred despite the exercise of due care or diligence on the part of the applicant (or applicant's representative). 62 Fed. Reg. 53,131, 53,159 (Oct. 10, 1997). See M.P.E.P., Section 711.03(c), 8th Edition, 700-185.

5. Fee (37 C.F.R. 1.17(m))

Application status is:

- ☒ Small entity-fee \$770.00
☐ A statement is attached.
☒ A statement was filed.
☐ Other than small entity-fee \$1,540.00

6. Payment of fee

- ☐ Enclosed please find check for ☐ \$770.00. ☐ \$1,540.00.
☐ Charge Account 12-0425 for any additional fee required.
☒ Charge Account 12-0425 the sum of ☒ \$770.00. ☐ \$1,540.00.
A duplicate of this petition is attached.

☒ Credit any overpayment to deposit account 12-0425.

Date: August 22, 2008

Signature of person making statement that
abandonment was due to an unintentional delay

(type or print name of person making statement)

Residence of person making statement

Reg. No.: 33,778

Tel. No.: (212) 708-1935

Customer No.:



00140

PATENT TRADEMARK OFFICE

SIGNATURE OF PRACTITIONER
Janet I. Cord

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023